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|--|--|------------------|-------------|-----------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br>(Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No.                            | <b>81481-200</b> | Total Pages | <b>31</b> |
|  | First Named Inventor or Application Identifier |                  |             |           |
|  | <b>Jen-Wei CHIAO et al.</b>                    |                  |             |           |
|  | Express Mail Label No.                         |                  |             |           |

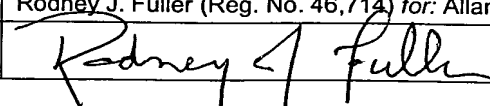
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| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents. | <b>ADDRESS TO:</b> MAIL STOP PATENT APPLICATION<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 |
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|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total Pages <u>24</u></span><br/>(preferred arrangement set forth below)<br/>-Descriptive title of the Invention<br/>-Cross Reference to Related Applications<br/>-Statement Regarding Fed Sponsored R&amp;D<br/>-Reference to Sequence Listing, a table, or a computer program listing appendix<br/>-Background of the Invention<br/>-Brief Summary of the Invention<br/>-Brief Description of the Drawings (if filed)<br/>-Detailed Description<br/>-Claim(s)<br/>-Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">Total Sheets <u>5</u></span></p> <p>5. Oath or Declaration <span style="float: right;">Total Pages <u>2</u></span><br/>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br/>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 18 completed)<br/>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<br/>a. <input type="checkbox"/> Computer Readable Form (CRF)<br/>b. Specification Sequence Listing on:<br/>i. <input type="checkbox"/> CD ROM or CD-R (2 copies); or<br/>ii. <input type="checkbox"/> Paper<br/>c. <input type="checkbox"/> Statements verifying identity of above copies.</p> |
|---|--|

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_\_ filed \_\_\_\_\_  
Prior Application Information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

**The entire disclosure of the prior application(s) noted above, is/are considered a part of the disclosure of the accompanying application and is/are hereby incorporated by reference.**

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| <b>19. CORRESPONDENCE ADDRESS</b>                     |           |          |  |  |  |
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|                   |   |      |         |
|-------------------|---|------|---------|
| Name (Print/Type) | Rodney J. Fuller (Reg. No. 46,714) for: Allan A. Fanucci (Reg. No. 30,256)          |      |         |
| Signature         |  | Date | 9/30/03 |

18334 U.S. P. 10  
09/30/03

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney Docket No.: 81481-200

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): **Jen-Wei CHIAO**  
**Longgui WANG**

Executed on: **Filed Herewith**

Title of the Invention: **METHOD OF MODULATING IMMUNE RESPONSE BY  
ADMINISTRATION OF IMMUNO-ACTIVATION AGENT**

## PATENT APPLICATION FEE VALUE

| TYPE   | NO. FILED | LESS | EXTRA | EXTRA RATE | FEE              |
|--|-----------|------|-------|------------|------------------|
| Total Claims   | 28        | -20  | 8     | \$18.00    | \$ 144.00        |
| Independent  | 2         | -3   | 0     | \$84.00    | \$ 0.00          |
| Minimum Fee  |           |      |       |            | \$ 750.00        |
| Multiple Dependency Fee<br>If Applicable (\$280.00)  |           |      |       |            | \$ 0.00          |
| <b>Total</b>   |           |      |       |            | \$ 894.00        |
| 50% Reduction for independent inventor, nonprofit<br>organization or small business concern (verified<br>statement as to the applicant's status is attached) |           |      |       |            | -\$ 447.00       |
| <b>TOTAL FILING FEE</b>  |           |      |       |            | <b>\$ 447.00</b> |

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 112(b).
- ☐ Priority of \* application no. \* filed \* is claimed under 35 U.S.C. §119.
- ☐ The certified priority document has been filed in application no. \* filed \*.
- ☒ Please charge the required fees to Winston & Strawn Deposit Account No. 501-814. A copy of this sheet is attached.

Respectfully submitted,

9/30/03  
Date

*Rodney J. Fuller*  
Rodney J. Fuller (Reg. No. 46,714)  
For: Allan A. Farucci (Reg. No. 30,256)

**WINSTON & STRAWN LLP**  
Customer No. 28765

202-371-5904